



State of Alaska SBIR/STTR Grant Program Application

**Fiscal Year 2024
July 1, 2023 – June 30, 2024**

**State Grant Funds for Federal Small Business Innovation Research (SBIR)
and Small Business Technology Transfer (STTR) Grantees**

Applicant Information

Applicant Name (First and Last)	
Applicant Title (Relationship to Business)	
Applicant Phone Number	
Applicant Email Address	
Is applicant authorized to sign on behalf of the business?	Yes No
If no, name the authorized signatory	
Email address of authorized signatory	

Business Information

Business Legal Name	
Business DBA Name (if applicable)	
Business Mailing Address	
Business Physical Address	

Tax ID Number (EIN/TIN)	
AK Business License Number	
Business Structure	
State of Incorporation	
Alaska Entity Number (issued by CBPL)	
SAM.gov Unique Entity ID (UEI)	
Industry (NAICS code)	
Number of Years in Business	
Number of Years in Business in Alaska	
Current Number of Employees	

Briefly describe the business, its history, and products/services currently offered

Federal SBIR/STTR Award Information

- For businesses with an active federal Phase I or Phase II award, complete Pages 3-4 with information about your current federal award.
- For businesses seeking bridge funding between federal Phase I and Phase II awards, complete Pages 3-4 with information about your federal Phase I award.

Federal Agency	
Type of Project	SBIR STTR
If STTR project, provide name of partner research institution	
Phase of Project	Phase I Phase II Bridge
Project Term (Start and End Date)	
Amount of Federal Funding Awarded	
Amount of Federal Award Expended to Date	

Title of Project

Project Abstract

Describe in layman's terms the technology being researched and/or developed with your federal award.

Federal SBIR/STTR Phase II Application Information

This Section is for Bridge Funding Applicants Only

- For businesses with an active federal Phase I or Phase II award, do not complete Pages 5-6.
- For businesses seeking bridge funding between federal Phase I and Phase II awards, complete Pages 5-6 with information about your federal Phase II application.

Federal Agency	
Type of Project	SBIR STTR
If STTR project, provide name of partner research institution	
Expected Project Term (if known)	
Amount of Federal Funding Applied For	

Title of Project

Project Abstract

Describe in layman's terms the technology being researched and/or developed with your federal award.

Alaska SBIR/STTR Grant Information

Describe the significance that Alaska SBIR/STTR Grant funding would have on your overall project.

Describe the overall significance of your SBIR/STTR project topic to Alaska in terms of addressing economic priorities and needs.

Provide an overview of activities that would be conducted with State grant funding. Further specifics can be provided in the Budget Narrative.

--

Would a state grant be used to leverage additional federal funding? <i>The intent of this question is to identify whether receiving a state grant would allow an applicant to access additional federal funds through a supplemental SBIR/STTR program (i.e., a state grant would provide non-federal match needed to leverage additional federal funds). This may not pertain to all applicants.</i>	Yes	No
---	------------	-----------

If so, how much?		
------------------	--	--

Indicate whether you are interested in a supplemental award if the Department has funding remaining after all awards have been made. *If marked Yes, the Department will contact you after the May 10 application deadline. You will be asked at that time to provide a budget and explanation of activities to be undertaken with additional funding. Please note that your federal Phase II award must still be active at the deadline in order to be eligible for any supplemental award.*

Yes	No	
-----	----	--

Proposed Budget

Please note that provided budget should total to no more than \$25,000, which is the highest award available for a Phase I project or bridge funding and the initial award amount available for a Phase II project.

- i. **Line-Item Proposed Budget** – Fill in the table below to indicate how project funds will be allocated. Be sure to check addition on cost totals.

Cost Category	Total
1. Personnel	
2. Fringe Benefits	
3. Travel	
4. Equipment	
5. Supplies	
6. Contractual	
7. Other	
8. Administrative	
Total	

- ii. **Budget Narrative** – Provide an explanation for each budget item listed on the prior page and specifics about what it will be used for. Budget numbers should be supported by an explanation or documentation that shows your calculations.

Required Documentation

Be sure to attach the following documents to the email when submitting your application. Please indicate the document type in your file name, abbreviating if necessary. For requested federal award documentation, refer to your current active award.

Document Type	File Name	Attached to Email?
Business Articles of Incorporation or Articles of Organization		
Copy of Alaska Business License		
Documentation Showing Good Standing with CBPL – This can be your Certificate of Compliance or a PDF of your entity’s details on the search results page		
SAM.gov Registration – Full detail sheet showing active status		
Federal SBIR/STTR Phase I or Phase II Award proposal – This should include Project Summary, Research Proposal, Budget, Project Team Description, and Commercialization Plan, if applicable.		
Award Letter – Federal SBIR/STTR Phase I or Phase II Award letter		
Agreement – Federal Award Agreement associated with your active SBIR/STTR Phase I or Phase II Award		
Award Compliance – Documentation from federal agency showing compliance with all SBIR/STTR Phase I or Phase II Award requirements		
For Bridge Funding Applicants Only:		
Federal SBIR/STTR Phase II Award proposal – This should include Project Summary, Research Proposal, Budget, Project Team Description, & Commercialization Plan.		
Phase II Submittal – Documentation showing that your Phase II proposal has been submitted to, and received by, the federal agency		

Submittal

By submitting this application, you make the following representations, authorizations, and certifications on behalf of yourself and the applicant business:

- ✓ I am the business owner or an authorized third party for the business.
- ✓ I am not engaged in any activity that is illegal under Federal, State, or Local law.
- ✓ I certify that this business is currently in operation and intends to stay in operation indefinitely.
- ✓ I certify that this business is an Alaska-based business and intends to remain an Alaska-based business throughout the duration of this grant.
- ✓ I certify that at least fifty-one percent (51%) of the activities conducted under this grant will be performed in Alaska.
- ✓ I certify that this business or business owner is not presently suspended, debarred, proposed for debarment, declared ineligible, voluntarily excluded from participation in a grant program by any Federal department or agency.
- ✓ I certify that this business or business owner is not presently a debtor in any pending bankruptcy and has not filed for bankruptcy in last five years.
- ✓ I understand all information submitted to the Department may be subject to Alaska's Public Records Act and any information submitted that is proprietary/confidential/trade secret should be identified as confidential.
- ✓ I understand, acknowledge, and agree that the State of Alaska may request additional information from me for the purposes of determining eligibility, and that my failure to provide information requested may result in a delay or denial of a grant.
- ✓ I understand that grant funds shall be used only for the payment of eligible expenses, as defined in the Grant Agreement, that have not been claimed or paid by other forms of assistance, including the federal SBIR/STTR award, and that are in compliance with State and Federal law. I understand that if the grant funds are used for unauthorized purposes, the State of Alaska may pursue recovery of grant funds and/or any other civil relief and criminal charges.
- ✓ I agree to comply with all reporting requirements and maintain accurate records.
- ✓ I have read the statements included in this document, I understand them, and they are true and correct to the best of my knowledge, information, and belief.

Do you agree with these statements listed above? Yes No

Signature _____ Date _____